

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HIDAKA, et al.

Serial No: 10/807,889

Filed: March 24, 2004

For: R-T-B System Rare Earth Permanent  
Magnet



Art Unit: 2832

Examiner: Barrera, R. M.

**TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence  
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Postal Service with sufficient postage as  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450, on  
October 15, 2004  
Date of Deposit  
Shirley Ferguson  
Name  
October 15, 2004  
Signature Date

Dear Sirs:

1. ☐ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☒ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☒ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

§1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**OR FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

**OR**

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**AND FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 18 reference(s) is in the enclosed Form PTO-1449.

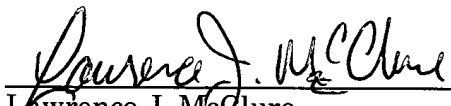
**NON-ENGLISH LANGUAGE REFERENCES**

- ☒ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

Date: October 15, 2004

Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Los Angeles, CA 90071  
Telephone: (213) 337-6700  
Facsimile: (213) 337-6701

By:   
Lawrence J. McClure  
Registration No. 44,228  
Attorney for Applicant(s)

Docket Number (Optional)  
81864.0037Application Number  
10/807,889

Applicant

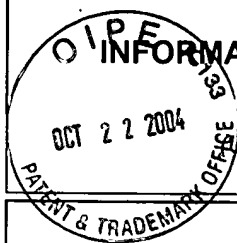
HIDAKA, et al.

Filing Date

March 24, 2004

Group Art Unit

2832



# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,770,723	09/13/88	SAGAWA, et al.			
	5,645,651	07/08/97	FUJIMURA, et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	1 164 599	12/19/01	EPO			Abstract	
	1 014 392	06/28/00	EPO			Abstract	
	10-041113	02/13/98	JAPAN			Abstract	
	1 189 244	03/20/02	EPO			Abstract	
	10-259459	09/29/98	JAPAN			Abstract	
	0 557 103	08/25/93	EPO			Abstract	
	09-223617	08/26/97	JAPAN			Abstract	
	2004/029995	04/08/04	WO			Abstract	
	2004/029996	04/08/04	WO			Abstract	
	2004/029997	04/08/04	WO			Abstract	
	2004/029998	04/08/04	WO			Abstract	
	2004/029999	04/08/04	WO			Abstract	
	2004/030000	04/08/04	WO			Abstract	
	2001-210508	08/03/01	JAPAN			Abstract	
	08-069908	03/12/96	JAPAN			Abstract	
	2000-252108	09/14/00	JAPAN			Abstract	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.